

# WealthPort Risk Tolerance Questionnaire

Please print, preferably in capital letters and black ink. All information requested is **required** unless *optional* is indicated.

Client name \_\_\_\_\_

\_\_\_\_\_

Social security number or  Tax ID

Street address (do not use P.O. box) \_\_\_\_\_

City, state zip \_\_\_\_\_

1. Your age is an important factor in your ability to take on investment risk. Your age is:  
 35 or under (Score 6)  36-45 (Score 4)  46-55 (Score 3)  56-64 (Score 2)  65 or over (Score 0)  
 Client is not an individual (Score half a point .50)
2. What is your time horizon for this investment portfolio?  
 Less than two years (Score 0)  
 Two to five years (Score 2)  
 Five to 10 years (Score 4)  
 More than 10 years (Score 6)
3. What is your risk tolerance? It is important to understand that the less short-term risk you are willing to take on, the lower your long-term returns are likely to be.  
 I consider myself conservative - Investor is seeking to preserve principal in their account with minimal risk. These investors are willing to accept lower income or returns, and their account may not keep pace with inflation. (Score -2)  
 I consider myself to be moderate-conservative - Investor is willing to accept low risk to their initial principal, including low volatility, to seek a modest level of portfolio returns. (Score -1)  
 I consider myself to be moderate - Investor is willing to accept some risk to their initial principal and tolerate some volatility to seek higher returns. These investors understand money invested could be lost due to market fluctuation. (Score 2)  
 I consider myself to be moderate-aggressive - Investor is willing to accept significant risk to their initial principal, including high volatility, to seek higher returns over long-term investing. These investors may endure large losses in favor of potentially higher long-term returns. (Score 4)  
 I consider myself to be aggressive - Investor is willing to accept maximum risk to their initial principal to aggressively seek maximum returns. These investors understand that most, or all, of the money invested potentially could be lost. (Score 7)
4. What is your return objective?  
 I do not have a high return objective. I am willing to accept lower long-term returns in order to preserve capital in bad market environments. (Score -2)  
 When stocks are performing well, I want some participation, but I am also somewhat concerned with short-term risk. (Score -1)  
 I am looking for high long-term returns and only mildly concerned with short-term risk. (Score 2)  
 I am looking for high returns and I am not concerned with short-term risk. (Score 4)  
 I am looking for maximum returns and I am not concerned with short-term risk or being out of sync with equity markets. (Score 6)
5. Once withdrawals begin for this investment portfolio, how long should they last?  
 Lump sum withdrawal (Score 0)  Less than one year (Score 1)  One to five years (Score 2)  Six to 10 years (Score 4)  
 11 or more years (Score 6)

## What is my Risk Tolerance Score?

To calculate your risk tolerance score, use the following spaces to list the point values associated with each of your responses. Add the values together to calculate your risk tolerance score. Point values can be found at the end of each of your answers. A total score of 31 represents the highest tolerance for risk and a negative 4 represents the lowest.

- 1) \_\_\_\_\_  
2) \_\_\_\_\_  
3) \_\_\_\_\_  
4) \_\_\_\_\_  
5) \_\_\_\_\_

<0-6.5	Conservative
7-11.5	Moderate-Conservative
12-17.5	Moderate
18-22.5	Moderate-Aggressive
23-31	Aggressive

Total Score \_\_\_\_\_

